

RYAN, MASON & LEWIS, LLP

ATTORNEYS AT LAW

1300 POST ROAD, SUITE 205

FAIRFIELD, CONNECTICUT 06824

Telephone: (203) 255-6560

Facsimile: (203) 255-6570

Email: kmm@rml-law.com

**RECEIVED
CENTRAL FAX CENTER****DEC 17 2004****DATE:** December 17, 2004**FILE:** 09/483,876Facsimile Message From: **KEVIN M. MASON**

Please deliver the following pages to:

NAME	COMPANY	FAX NUMBER
Examiner Adnan M. Mirza	USPTO	703-746-7239

NUMBER OF PAGES INCLUDING THIS COVER PAGE: 5**COMMENTS/INSTRUCTIONS:**

Attached please find a copies of an Information Disclosure Statement, Form 1449 and date stamped return postcard that applicant filed on March 2, 2004 in the above-identified patent application. Please initial the Form 1449 and return to us via return facsimile 203-255-6570.

Kind regards.

If you do not receive all of the pages, please call us back as soon as possible at (203) 255-6560.

THIS MESSAGE IS INTENDED FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA U.S. POSTAL SERVICE. THANK YOU.

FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Mayer et al.
Case: 6-9-1
Serial No.: 09/483,876
Filing Date: January 18, 2000
Group: 2141

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
	5,726,979	3/10/98	Henderson et al.		
	5,968,176	10/19/99	Nessett et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO
	EP 0 854 605 A2	7/22/98	Europe		

OTHER DOCUMENTS

EXAMINER INITIAL	REF. NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	1.	Hinrichs et al. "Policy-Based Management: Bridging the Gap," Computer Security Applications Conference, IEEE Comput. Soc, 209-218 (Dec. 1999).
	2.	Mayer et al., "Fang: A Firewall Analysis Engine," Security and Privacy Proceedings, IEEE Symposium, 177-187 (May 2000).

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Page 1 of 1

RECEIVED
CENTRAL FAX CENTER
DEC 17 2004

Mayer 6-9-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): Mayer et al.
Case: 6-9-1
Serial No.: 09/483,876
Filing Date: January 18, 2000
Group: 2141
Examiner: Adnan M. Mirza

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Signature:  Date: March 2, 2004

Title: Method and Apparatus for Analyzing One or More Firewalls

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following document listed on the accompanying PTO Form 1449. Pursuant to 37 C.F.R. §1.97(e)(1), each of the identified references was first cited in a European Search Report dated December 4, 2003 (i.e., not more than three months prior to the filing of this IDS).

US Patent Applications:

1. U.S. Patent No. 5,726,979 dated March 10, 1998.
2. U.S. Patent No. 5,968,176 dated October 19, 1999.

Copies of each of the following listed items are enclosed.

Foreign Patent Applications:

1. EP 0 854 605 A2, published July 22, 1998


Mayer 6-9-1

Other documents:

1. Hinrichs et al. "Policy-Based Management: Bridging the Gap," Computer Security Applications Conference, IEEE Comput. Soc, 209-218 (Dec. 1999).
2. Mayer et al., "Fang: A Firewall Analysis Engine," Security and Privacy Proceedings, IEEE Symposium, 177-187 (May 2000).

In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit Deposit Account No. 50-0762 as required to correct the error.

Respectfully submitted,



Date: March 2, 2004

Kevin M. Mason
Attorney for Applicant
Reg. No. 36,597
Ryan, Mason & Lewis, LLP
1300 Post Road, Suite 205
Fairfield, CT 06824
(203) 255-6560



RECEIVED
MAR - 8 2004

Receipt in the USPTO is hereby acknowledged of:

Information Disclosure Statement with PTO-1449 and cited reference(s)



Case Name: Mayer 6-9-1
Serial No.: 09/483,876

1200-415

March 2, 2004 KMM